

Waiver of Indictment

**UNITED STATES DISTRICT COURT
FOR THE
DISTRICT OF MASSACHUSETTS**

UNITED STATES OF AMERICA)

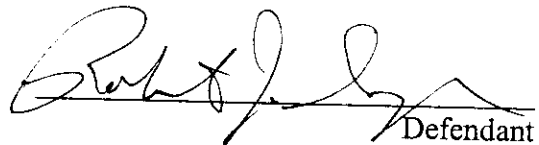
v.)

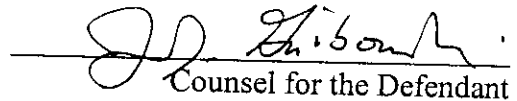
ROBERT J. SAMPSON)

Criminal No. 04-40010-NMG

WAIVER OF INDICTMENT

I, **ROBERT J. SAMPSON**, the above-named defendant, who is accused of violations of 15 U.S.C. §§ 80b-6 and 80b-17 (investment adviser fraud), 15 U.S.C. § 78j(b) and 78ff (securities fraud), and 18 U.S.C. § 1341 (mail fraud) being advised of the nature of the charge(s), the proposed information, and my rights, hereby waive in open court on August 17, 2004 prosecution
(Date)
by indictment and consent that the proceeding may be by information instead of by indictment.


Defendant


Counsel for the Defendant

Before:

M. J. Gordon, U.S.D.J. 8/17/04
Judicial Officer